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TAROLLI, SUNDHEIM, COVELL, TUMMINO & SZABO L.L.P.  
1111 Leader Building  
Cleveland, Ohio 44114  
(216) 621-2234

PATENT

Attorney Docket No. A31-6014

Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s): Alan E. Shluzas

For (title): **AN APPARATUS FOR CONNECTING A LONGITUDINAL MEMBER TO A BONE PORTION**

Enclosed are:

Papers Required for Filing Date Under 37 CFR 1.53(b):

- 12 Pages of specification
- 1 Pages Abstract
- 6 Pages of claims
- 3 Sheets of drawing (Figs. 1-6)
- ☒ formal
- ☐ informal

In addition to the above papers there is also attached: An Information Disclosure Statement (2 pgs.); PTO-Form 1449 (1 pg.); and FIVE (5) References.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 13, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number ET-756079005US addressed to the: Assistant Commissioner for Patents, Washington D.C.

Anita J. Galo  
(Type or print name of person mailing paper)

*Anita J. Galo*  
(Signature of person mailing paper)

2. Declaration or oath:

- ☒ Enclosed (Not Executed)  
☐ Not Enclosed.

3. Language:

- ☒ English  
☐ Non-English  
☐ A verified English translation of the  
☐ specification and claims  
☐ declaration  
is attached.

Assignment:

- ☒ An assignment of the invention to Endius Incorporated  
☐ is attached.  
☒ will follow

5. Certified Copy:

Certified copy (ies) of application (s)

(Country)	(Appln. No.)	(Filed)
(Country)	(Appln. No.)	(Filed)
(Country)	(Appln. No.)	(Filed)

from which priority is claimed

- ☐ is attached  
☐ will follow

6. **Fee Calculation:**  
(Small entity filing fee is 50% normal fee)

CLAIMS AS FILED				
Number Filed	Number Extra		Rate	Basic Fee
Total Claims	17	-20 =	0 X	\$ 9.00
Independent Claims	2	-3 =	0 X	\$ 42.00
Multiple dependent claim(s), if any			0 +	\$ 140.00
				\$ 370.00

- ☐ Amendment canceling extra claims enclosed
- ☐ Amendment deleting multiple dependencies enclosed
- ☐ Fee for extra claims is not being paid at this time

Filing Fee Calculation **\$370.00**

**Small Entity Statement:**

- ☒ The present application is being filed by or on behalf of a **small entity** as defined in 37. CFR 1.9 and 1.27 for purposes of paying reduced fees.

**Fee Payment Being Made At This Time:**

Enclosed:

- ☒ basic filing fee \$370.00
- ☒ assignment recordal fee \$ 0.00
- ☒ for processing an application with a specification in a non-English language \$ 0.00
- Total fees enclosed \$370.00

9. **Method of Payment Fees:**

- ☒ check in the amount of \$ 370.00 enclosed.
- ☒ The Commissioner is hereby authorized to charge any **DEFICIENCY** in the filing fees for this application to our Deposit Account No. 20-0090.

10. **Instructions As to Overpayment:**

- ☒ refund

**TAROLLI, SUNDHEIM, COVELL,  
TUMMINO & SZABO L.L.P.**  
1111 LEADER BUILDING  
CLEVELAND, OHIO 44114  
Tel. No. (216) 621-2234  
Fax. No. (216) 621-4072

  
SIGNATURE OF ATTORNEY, REG. NO. 20,177

Thomas L. Tarolli  
Type or print name of attorney